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Tools for Kernelizing Graph Cut Problems

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Graph cut problems

For many graph cut problems, the existence of polynomial kernels is/was unknown:

- **Multiway Cut** – separate terminals T by removing k vertices
- **Directed Feedback Vertex Set** – hit all directed cycles
- **Multicut** – fulfill all cut requests (s_i, t_i) using k vertices

Also related problems (graph cut problems in disguise):

- **Graph Bipartization (OCT)** – hit all odd cycles
- **Almost 2-SAT**, a.k.a. 2-CNF Deletion – remove k variables/clauses to make F satisfiable



Graph cut problems

Many found attackable by **matroid theory**:

This talk:

- **Graph Bipartization (OCT)**

Other problems:

- **Almost 2-SAT, a.k.a. 2-CNF Deletion**
- **Multiway Cut** – restricted cases
- **Directed Feedback Vertex Set** – unknown
- **Multicut** – unknown



Outline

Matroids 1: Encoding Terminal Cuts

Matroid introduction

Encoding Terminal Cuts

Application: OCT kernel

*Matroids 2: Irrelevant vertices

Application sketches

Matroids 1:

Encoding Terminal Cuts

Matroids

Matroid theory is for the concept of dependence what group theory is for symmetry — (unknown)

A **matroid** $M = (U, \mathcal{I})$, $\mathcal{I} \subseteq 2^U$, is an independence system with independent sets \mathcal{I} satisfying:

1. The empty set is independent
2. A subset of an independent set is independent
3. **Augmentation property:** If A, B are independent and $|B| > |A|$, then there is some $b \in B - A$ such that $A + b$ is independent

Rank $r(X)$: Size of largest independent subset of X



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Examples

Canonical examples:

1. **Graphic matroids**: Let $G = (V, E)$ be a graph.
 - $M = (E, \mathcal{I})$, \mathcal{I} contains cycle-free edge sets
 - Rank: number of vertices minus number of components
2. **Linear matroids** $M = (U, \mathcal{I})$:
 - U is a collection of vectors in \mathbb{F}^d for some field \mathbb{F}
 - Independence concept is linear independence
 - Rank: dimension

Linear matroids more conveniently represented by $d \times |U|$ matrix.
Many tools work only for linear matroids (our matroids are linear).



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Gammoids

Let $G = (V, E)$ be a graph. Say that $T \subseteq V$ is **linked into** S if there are $|T|$ vertex-disjoint paths from S to T (not only internally vertex-disjoint).

The **gammoid** defined by G and S is $M = (V, \mathcal{I})$ where:

- \mathcal{I} contains all sets linked into S
- The rank $r(X)$ equals the size of an (S, X) -cut
- **Augmentation property**: see next slide

Also works for digraphs.

Gammoids: augmentation property

Let $G = (V, E)$, $S \subset V$, A and B linked into S , with $|B| > |A|$.
There exists a vertex $v \in B - A$ such that $A + v$ is linked into S .



- Let C be the minimum (S, A) -cut closest to S . Claim:
if $A + v$ is dependent then C cuts v from S .
 - $A + v$ dependent \Rightarrow cut $(S, A + v)$ of size $< |A| + 1$
 - A independent \Rightarrow cut has size $|A| = |C|$, dominated by C
- $|B|$ paths from S to B , $|B| > |C| \Rightarrow$ some $b \in B$ is not cut by C .

Gammoids: augmentation property

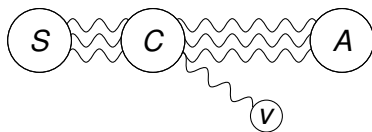
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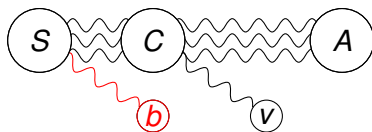
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Gammoids: small representation

1. Let $G = (V, E)$, $S, T \subseteq V$. We can represent the subsets of T which are linked into S in space $\mathcal{O}(|S| \cdot |T| \cdot |S| \log |T|)$.
2. Source-free form: Let $G = (V, E)$, $X \subseteq V$ a set of terminals. We can represent the flow from S to T in $G - R$ for arbitrary $S, T, R \subseteq X$ in space $\mathcal{O}(|X|^3)$.

Still works for digraphs. Randomized polynomial time with one-sided error (underestimates flow only).



Application:

Polynomial Kernel for OCT
(Graph Bipartization)

OCT algorithm

Recall OCT **iterative compression** algorithm¹ ($G_i = G[v_1, \dots, v_i]$)

1. Start with graph G_1 , empty solution $X = \emptyset$
2. For $i = 2 \dots n$:
 - 2.1 Have solution X of size k for G_{i-1}
 - 2.2 $X' = X + v_i$ is solution of size $k + 1$ for G_i
 - 2.3 Use X' to find optimal solution for G_i

¹Reed, Smith, Vetta 2004



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1. Start with graph G_1 , empty solution $X = \emptyset$
2. For $i = 2 \dots n$:
 - 2.* Compress $X + v_i$ to optimal solution X

Kernelization order:

1. Create approximate solution X (size k^c)
2. Feed X to compression step
3. Kernelize resulting graph cut problem

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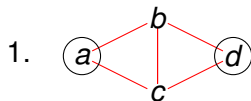
OPT^c approximation

1. Run FPT algorithm with parameter $k = \log n$ ($3^k n^{O(1)} \rightarrow n^{O(1)}$)
2. Run $\mathcal{O}(\sqrt{\log n})$ -approximation;³ observe $\log n < k$
 $\mathcal{O}(\text{OPT}^{1.5}) = \mathcal{O}(k^{1.5})$ -sized solution.

³Agarwal, Charikar, Makarychev, Makarychev, STOC 2005

Algorithm sketch (compression step)

Let $u-v$ be a normal edge ($u \neq v$), $u-v$ an **equality** edge ($u = v$).



$X = \{a, d\}$. Partition $G - X$ as
 $U \cup V = \{b\} \cup \{c\}$



Negate U : Toggle crossing edges

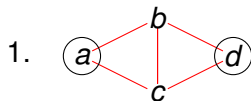


Split X into positive, negative copies

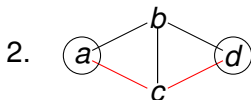
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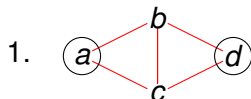


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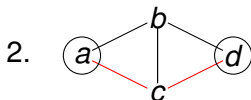
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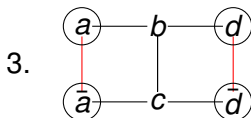
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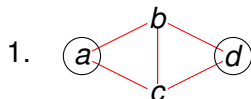


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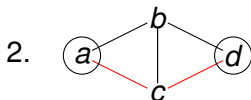
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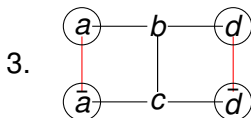
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OCT kernel, summary

1. Use known tools to get solution X with $|X| = \mathcal{O}(k^{3/2})$
2. Create cut problem on auxiliary graph, terminals X'
3. Encode terminal cuts over X' into $\mathcal{O}(|X|^3)$ -size description
4. Yields a $\mathcal{O}(k^{4.5})$ -sized instance description (**compression**, a.k.a. generalized kernel/bikernel)

(True (direct) kernel by NP-hardness.)



Matroids 2:

Irrelevant vertices

Direct kernels

Terminal Cuts Compression

Input: Graph $G = (V, E)$, sets $S, T \subset V$

Parameter: $|S| + |T|$

Task: Reduce G to a small graph G' while preserving sizes of (A, B) -cuts, $A \subseteq S, B \subseteq T$

Would give **direct** (combinatorial?) kernels for our problems.

Strategy: Irrelevant vertices

Terminal Cuts Compression

Reduce size of G while preserving (A, B) -cuts, $A \subseteq S$, $B \subseteq T$

- A vertex v is **essential** if for some A, B , every minimum (A, B) -cut uses v
- Otherwise **irrelevant**

Claim

There are at most k^4 essential vertices (and we can find them).

An irrelevant vertex may be removed (**lifted**) (then iterate).

Strategy: Irrelevant vertices

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Case: Almost 2-SAT kernel

Digraph Pair Cut

Input: Digraph $D = (V, A)$, source vertex s , integer k ,
set of pairs $P \subseteq \binom{V}{2}$

Parameter: k

Problem: Remove k vertices such that for every pair
 $\{u, v\} \in P$, either u or v is not reachable from s

- Claim 1: Digraph Pair Cut has $\tilde{O}(k^4)$ kernel
- Claim 2 (omitted): This gives a polynomial kernel for Almost 2-SAT

Digraph Pair Cut

Algorithm:

1. Let $T = \emptyset$, $X = \emptyset$
2. While any pair is **reachable** and $|X| < k$:
($\{u, v\}$ reachable: both u and v reachable from s in $G - X$)
 - 2.1 Find reachable pair $\{u, v\}$
 - 2.2 Branch on $(T = T + u)$ or $(T = T + v)$
 - 2.3 Let X be the min- (s, T) -cut closest to s

Claims

There are only k^2 **non-irrelevant** pairs $P' \subseteq P$ for step 2.1

We can encode the problem into **terminal cuts**, size $\tilde{O}(k^2|P'|)$.



Conclusions

- Parameterized graph cut problems (still) include many open problems for polynomial kernelization
- Matroid theory gives very powerful tools for these problems
 - Encode a problem compactly (as a matrix), in small space
 - Detect irrelevant vertices/objects to remove

